FEB 17 2006 &

PTO/SB/21 (09-04)
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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Application Number	10/716,393		
Filing Date	November 17, 2003		
First Named Inventor	Quan Nguyen		
Group Art Unit	1645		
Examiner Name	Unassigned		
Attorney Docket Number	oer 70-000410US		

Total Number of Pages in This Submi	ssion Attorney Docket Numbe	70-000410US	
	ENCLOSURES (check all that ap	ply)	
Fee Transmittal Form Fee Attached  Amendment / Response  After Final Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  X Information Disclosure Statement Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR	Assignment Papers (for an Application)  Drawing(s)  Licensing-related Papers  Petition Routing Slip (PTO/SB/69) and Accompanying Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Small Entity Statement	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information  Status Letter X Additional Enclosure(s) (please identify below):  1449, cited references and receipt acknowledgment postcard  for any additional fees associated with lication, including any extensions of time	
1.52 or 1.53	IDE OF ADDITIONAL ATTORNEY OR	ACENT	
Firm	Quine, Reg. No. 41,261, Quine Intelle		
Signature	Ah Puin		
Date February 15, 20	06		
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Juliana Hermes

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Date

February 15, 2006

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Alexandria, VA 22313-1450, on February 15, 2006

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By Juliana Hermes

Attorney Docket No. 70-000410US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Quan Nguyen, et al.

Application No.: 10/716,393

Filed: November 17, 2003

For: RNAI-BASED SENSORS, CAGED

INTERFERING RNAS, AND METHODS OF USE THEREOF

Confirmation No.

Examiner: Unassigned

Art Unit: 1645

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37

CFR § 1.97 and

§ 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the cited U.S. patent references are not provided. However the applicants will gladly provide copies of any references requested by the Examiner. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Quan Nguyen, et al.

Application No.: 10/716,393

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jonathan Alan Quine, J.D., Ph.D., Reg. No. 41,261 For Monicia Elrod-Erickson, Ph.D., Reg. No. 51,651

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February 15, 2006

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Substitute for form 1449A-B/PTO	Complete if Known		
	Application Number	10/716,393	
INFORMATION DISCLOSURE	Filing Date	November 17, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Quan Nguyen	
	Group Art Unit	1645	
	Examiner Name	Unassigned	
(use as many sheets as necessary)	Attorney Docket Number	70-000410US	

	U.S. Patent Document	Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, lines,
Cite No.	Number Kind (if kr		Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appea
1	5,801,007	Simpson et al.	09-01-1998	
2	6,017,758	Haselton et al.	01-25-2001	
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**Date Submitted** 

		Foreign Patent Document			N PATENT DOCUMENT	Date of Publication	Pages, Columns, Lines,	
Examiner Initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	ַ וְ
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	Т
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	5	Chiu, YL and Rana, TM (2002) RNAi in human cells: basic structural and functional features of small interfering RNA, Mol. Cell, 10(3): 549-561.	
	6	Shah, S. et al. (2005) Light-activated RNA interference. Angew. Chem. Int. Ed. 44, 1328-1332	
			<u> </u>
			_

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.